



Creation date: 21-07-2003
Indexing Officer: JBROADWAY - JASON BROADWAY
Team: OIPEBackFileIndexing
Dossier: 09991460

Legal Date: 11-06-2002

No.	Doccode	Number of pages
1	IDS	5
2	NPL	3
3	NPL	2
4	NPL	12
5	NPL	3
6	NPL	3
7	NPL	3
8	NPL	9
9	NPL	2
10	NPL	4
11	NPL	2
12	NPL	2
13	NPL	10
14	NPL	6
15	NPL	2
16	NPL	4
17	NPL	2
18	NPL	14

Total number of pages: 88

Remarks:

Order of re-scan issued on

BACKFILE DOCUMENT INDEX SHEET



A DOCPHOENIX

APPL PARTS

IMIS _____	NPL _____
Internal Misc. Paper	Non-Patent Literature
LET. _____	OATH _____
Misc. Incoming Letter	Oath or Declaration
371P _____	PET. _____
PCT Papers in a 371 Application	Petition
A... _____	RETMALI_____
Amendment Including Elections	Mail Returned by USPS
ABST _____	SEQLIST _____
Abstract	Sequence Listing
ADS _____	SPEC _____
Application Data Sheet	Specification
AF/D _____	SPEC NO _____
Affidavit or Exhibit Received	Specification Not in English
APPENDIX _____	TRNA _____
Appendix	Transmittal New Application
ARTIFACT _____	OUTGOING
Artifact	
BIB _____	CTMS _____
Bib Data Sheet	Misc. Office Action
CLM _____	1449 _____
Claim	Signed 1449
COMPUTER _____	892 _____
Computer Program Listing	892
CRFL _____	ABN _____
All CRF Papers for Backfile	Abandonment
DIST _____	APDEC _____
Terminal Disclaimer Filed	Board of Appeals Decision
DRW _____	APEA _____
Drawings	Examiner Answer
FOR _____	CTAV _____
Foreign Reference	Count Advisory Action
FRPR _____	CTEQ _____
Foreign Priority Papers	Count Ex parte Quayle
IDS 5 _____	CTFR _____
IDS Including 1449	Count Final Rejection

NPL _____

Non-Patent Literature

OATH _____

Oath or Declaration

PET. _____

Petition

RETMALI_____

Mail Returned by USPS

SEQLIST _____

Sequence Listing

SPEC _____

Specification

SPEC NO _____

Specification Not in English

TRNA _____

Transmittal New Application

CTMS _____

Misc. Office Action

1449 _____

Signed 1449

892 _____

892

ABN _____

Abandonment

APDEC _____

Board of Appeals Decision

APEA _____

Examiner Answer

CTAV _____

Count Advisory Action

CTEQ _____

Count Ex parte Quayle

CTFR _____

Count Final Rejection

CTNF _____

Count Non-Final

CTRS _____

Count Restriction

EXIN _____

Examiner Interview

M903 _____

DO/EO Acceptance

M905 _____

DO/EO Missing Requirement

NFDR _____

Formal Drawing Required

NOA _____

Notice of Allowance

PETDEC _____

Petition Decision

AP.B _____

Appeal Brief

C.AD _____

Change of Address

N/AP _____

Notice of Appeal

PA.. _____

Change in Power of Attorney

REM _____

Applicant Remarks in Amendment

XT/ _____

Extension of Time filed separate

File Wrapper

FWCLM _____

File Wrapper Claim

IIFW _____

File Wrapper Issue Information

SRFW _____

File Wrapper Search Info

Internal	ECBOX _____
	Evidence Copy Box Identification
SRNT _____	WCLM _____
Examiner Search Notes	Claim Worksheet
CLMPTO _____	WFEE _____
PTO Prepared Complete Claim Set	Fee Worksheet

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney Docket No.: 020882-000110US

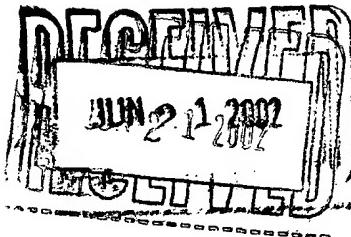
PATENT

2874
05-
ca
6-21-2

On June 4, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Linda M. Jaggar



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BATCHKO

Application No.: 09/991,460

Filed: November 16, 2001

For: OPTICAL FREQUENCY
SYNTHESIZING STRUCTURE

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

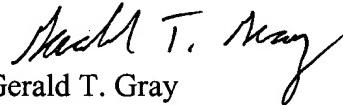
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

RECEIVED
JUN 14 2002
TECHNOLOGY CENTER 2800

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


Gerald T. Gray
Reg. No. 41,797

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 925-472-5000
Fax: 925-472-8895
GTG:gmz

WC 9039563 v1

Please type a plus sign (+) inside this box



O I P E
JUN 11 2002
P A T E N T
T R A D E M A R K
O F F I C E
S C 190

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

Application Number	09/991,460
Filing Date	November 16, 2001
First Named Inventor	BATCHKO, ROBERT G.
Group Art Unit	NYA
Examiner Name	Not Yet Assigned
Attorney Docket Number	020882-000110US

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	5,425,116		Dragone et al.	06/13/1995	
	AB	5,434,700		Yoo	07/18/1995	
	AC	5,459,807		Doumuki et al.	10/17/1995	
	AD	5,461,685		Glance et al.	10/24/1995	
	AE	5,475,780		Mizrahi	12/12/1995	
	AF	5,526,153		Glance	06/11/1996	
	AG	5,546,220		Endo et al.	08/13/1996	
	AH	5,581,642		Deacon et al.	12/03/1996	
	AI	5,586,206		Brinkman et al.	12/17/1996	
	AJ	5,708,734		Van Der Tol	01/13/1998	
	AK	5,748,350		Pan et al.	05/05/1998	
	AL	5,796,902		Bhat et al.	08/18/1998	
	AM	5,825,517		Antoniades et al.	10/20/1998	
	AN	5,946,129		Xu et al.	08/31/1999	
	AO	6,023,360		Morioka et al.	02/08/2000	
	AP	6,028,706		Shirasaki et al.	02/22/2000	
	AQ	6,130,971		Cao	10/10/2000	
	AR	6,141,465		Bischel et al.	10/31/2000	
	AS	6,144,494		Shirasaki et al.	11/07/2000	
	AT	6,154,310		Galvanauskas et al.	11/28/2000	
	AU	6,169,604	B1	Cao	01/02/2001	
	AV	6,169,616	B1	Cao	01/02/2001	
	AW	6,169,630	B1	Shirasaki et al.	01/02/2001	
	AX	6,169,828	B1	Cao	01/02/2001	

RECEIVED
JUN 14 2002
TECHNOLOGY CENTER 2800

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

WC 9039563 v1